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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1632
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number

09/340,283

Filing Date

June 25, 1999

First Named Inventor

Messing, Robert O.

Group Art Unit

1632

Examiner Name

R. Shukla

Attorney Docket Number

316-000720US

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ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☒ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):
 - receipt acknowledgment postcard

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Emily M. Haliday, Reg. No. 38,903, Quine Intellectual Property Law Group P.C.

Signature

Emily M. Haliday

Date

Sept. 13, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 9-13-02

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Tracie Brooks

Date

9-13-02

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ACCOMPANYING APPLICATION**

Application Number

09/340,283

Filing Date

June 25, 1999

First Named Inventor

Messing, Robert O.

Group Art Unit

1632

Examiner Name

R. Shukla

Attorney Docket Number

316E-000720US

I hereby appoint:

☒

Practitioners at Customer Number

22798

Type Customer Number Here

OR

Practitioner(s) named below:



22798

PATENT TRADEMARK OFFICE

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

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THAT IS:

Firm or Individual Name	Quine Intellectual Property Law Group, P.C.				
Address	P.O. Box 458				
Address					
City	Alameda	State	CA	Zip	94501
Country	United States				
Telephone	(510) 337-7871	Fax	(510) 337-7877		

I am the

☐ Applicant.☒Assignee of record of the entire interest (*Certificate under 37 CFR 3.73(b) is enclosed*)

SIGNATURE of Applicant or Assignee of Record

Name	Susan Y. Nakashima
Signature	<i>Susan Y. Nakashima</i>
Date	August 19, 2002

CERTIFICATE OF MAILING

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Typed or printed name	Tracie Brooks		
Signature	<i>Tracie Brooks</i>	Date	9-13-02



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PTO/SB/96 (2-98)
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STATEMENT UNDER 37 CFR 3.73(b)Applicant: Messing, Robert O., et al.Application No.: 09/340,283Filed: June 25, 1999Entitled: PROTEIN KINASE C EPSILON AS MODULATOR OF ANXIETY, ALCOHOL CONSUMPTION AND SELF-ADMINISTRATION OF DRUGS OF ABUSEThe Regents University of California

, a

university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet

[X] Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

September 9, 2002
Date

Susan Y. Nakashima
Signature

Susan Y. Nakashima
Typed or printed name

Business Manager
Title

ASSIGNMENT

NOT FOR RECORDATION

Attorney Docket No. 316E-000720US

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Messing, Robert O.

2. HODGE, Clyde W.

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

TITLE: PROTEIN KINASE C EPSILON AS MODULATOR OF ANXIETY, ALCOHOL CONSUMPTION AND SELF-ADMINISTRATION OF DRUGS OF ABUSE

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 09/340,283, filed on June 25, 1999
- (d) _____ U.S. Patent No.: , issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

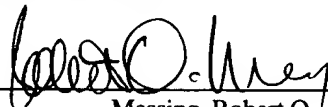
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.


executed this

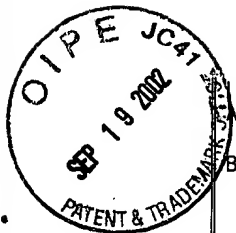
Signature of Inventor(s)

7 day of August, 2002


Messing, Robert O.

8 day of August, 2002


Hodge, Clyde W.



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By Tracie Brooks
Tracie Brooks, QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

Attorney Docket No. 316E-000720US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Messing, Robert O., et al.

Application No.: 09/340,283

Filed: June 25, 1999

For: PROTEIN KINASE C EPSILON AS
MODULATOR OF ANXIETY, ALCOHOL
CONSUMPTION AND SELF-
ADMINISTRATION OF DRUGS OF ABUSE

Examiner: R. Shukla

Art Unit: 1632

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AGENT, NOT ACCOMPANYING
APPLICATION

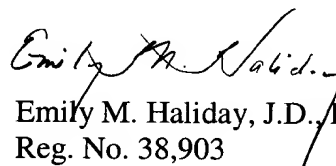
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please make of record the following enclosed documents regarding the above-identified application:

- 1) Power of Attorney or Authorization of Agent, Not Accompanying Application
- 2) Statement Under 37 CFR 3.73(b)
- 3) Copy of Assignment, (-not for recordation-note: The Assignment for recordation was sent concurrently under separate cover to Box Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-1619A)

Respectfully submitted,


Emily M. Haliday, J.D., Ph.D.
Reg. No. 38,903

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